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**HITT GAINES, P.C.**  
Intellectual Property Law & Related Matters

**FACSIMILE TRANSMISSION**

**OFFICIAL**

TO: David Hogans  
Group Art Unit2813

FAX NO. (703) 872-9306

FROM: Greg H. Parker

RE: Serial No. 09/997,650  
Attorney Docket No.: DUNCAN 3-10-40  
Statement of the Substance of Applicant Initiated Interview

DATE: March 26, 2004

PAGES: 3 (including cover page)

If you do not receive the indicated number of pages, please notify the sender at the telephone number shown below. Thank you.

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**MESSAGE:**

Mailing Address: P.O. Box 832570, Richardson, Texas 75083-2570  
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MAR 26 2004

**OFFICIAL**

ATTORNEY DOCKET NO. DUNCAN 3-10-40

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Julia C. Duncan, *et al.*  
Serial No.: 09/997,650  
Filed: November 28, 2001  
Title: A SEMICONDUCTOR DEVICE HAVING AN INDIUM DOPED  
DIELECTRIC LAYER LOCATED THEREIN AND A METHOD  
OF MANUFACTURE THEREFOR  
Grp./A.U.: 2813  
Examiner: Hogans, David L.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being facsimile  
transmitted to the Patent and Trademark Office (Fax No.  
(703)872-9306 on: March 26 2004 (date).

Elizabeth Schumacher

Typed or printed name of person signing this Certificate

Elizabeth Schumacher  
Signature

Sir:

STATEMENT OF THE SUBSTANCE OF APPLICANT INITIATED INTERVIEW IN  
ACCORDANCE WITH C.F.R. § 1.133(b)

Summarized below is the substance of a telephone interview between Examiner Hogans,  
Examiner Whitehead, and the under signed attorney of record, held on March 17, 2004.

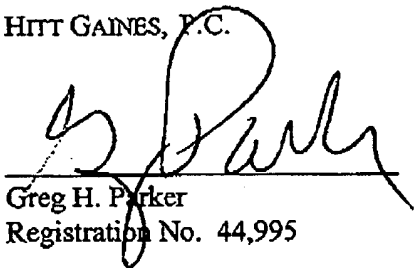
In the telephone interview, Examiner Hogans, Examiner Whitehead and Mr. Parker discussed  
the incorrectness of combining Osenbach with any semiconductor device art. The parties agreed that  
one skilled in the art would not make such a combination without using the claimed invention as a

blueprint.

The Applicants request the Examiner to telephone the undersigned attorney of record at (972) 480-8800 if such would further or expedite the prosecution of the present application.

Respectfully submitted,

HITT GAINES, P.C.

  
Greg H. Parker  
Registration No. 44,995

Dated: 3-26-04

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